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November 13, 1996

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
ENTRY INTO NATIONAL PHASE UNDER CHAPTER II

EXPRESS MAIL LABEL EM-053475003-US

Attorney's Docket No. 960670.ORI

International Application No.: PCT/GB95/01107

International Filing Date: 15 May, 1995

Priority Date Claimed: 13 May, 1994

Title of Invention: IMPROVEMENTS IN OR RELATING TO PEPTIDE
DELIVERY

Applicant(s) for DO/EO/US: CARDY, Donald Leonard Nicholas
CARR, Frank Joseph

BOX PCT
COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

Dear Sir:

Applicants hereby submit to the United States
Designated/Elected Office (DO/EO/US) the following items:

1. This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371 concerning the above-identified PCT application.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1)).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy is enclosed.
5. A copy of the International Application as published (35 U.S.C. 371(c)(2))
a. is transmitted herewith (required only if not transmitted by the International Bureau.)
b. has been transmitted by the Int'l Bureau.
c. is not required, as the application was filed in the United States Receiving Office (RO/US)
- 5a. Copy of the International Search Report which is attached to the International Application as published.
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 14 (35 U.S.C. 371(c)(3))
a. are transmitted herewith (required only if not transmitted by the International Bureau).
b. have been transmitted by the Int'l Bureau.
c. have not been made; however, the time limit for making such amendments has NOT expired.
d. have not been made and will not be made.
- 7a. A translation of the amendments to the claims under PCT Article 14 (35 U.S.C. 371(c)(3)).
- 7b. Copy of the International Preliminary Examination Report and annexes to the Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- 7c. Translation of the annexes.
8. An unexecuted copy of a Declaration, Power of Attorney and Petition of the inventors (35 U.S.C. 371(c)(4)).
9. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

10. An executed assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
11. A FIRST preliminary amendment.
 A SECOND or SUBSEQUENT preliminary amendment.
12. A substitute specification.
13. A change of power of attorney and/or address letter.
14. Unexecuted Small Entity Declarations (3).
15. Copy of originally filed Request.
16. Original filed Power of Attorney in International Application Serial No. PCT/GB95/01107.
17. Notification of Receipt of Record Copy.
18. Notification Concerning Submission of Priority Documents in International Application.
19. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(S)(1)-(5)):
Search Report has been prepared by the EPO
or JPO (\$910.00 large; \$455.00 small) \$455.00
International preliminary examination fee
paid to USPTO (37 CFR 1.482)
(\$700.00 large; \$350.00 small) \$350.00
No international preliminary examination fee
paid to USPTO (35 CFR 1.482) but international
search fee paid to USPTO (37 CFR 1.445(a)(2)
(\$770.00 large; \$385.00 small) \$385.00
Neither international preliminary examination
fee (37 CFR 1.482) nor international search
fee (37 CFR 1.445(a)(2)) paid to USPTO
(\$1,040.00 large; \$520.00 small) \$520.00
International preliminary examination fee paid
to USPTO (37 CFR 1.482) and all claims
satisfied provisions of PCT Article 33(2)-(4)
(\$96.00 large; \$48.00 small) \$ 48.00

ENTER APPROPRIATE BASIC FEE AMOUNT: \$455.00

Surcharge of \$130.00 (large) or \$65.00 (small)
for furnishing oath later than
____ (20) ____ (30) months from the earliest claimed
priority date \$

*Total number of claims in excess
of 20, times \$22.00 (large) or
\$11.00 (small) \$ \$22.00

Number of independent claims,
minus 3, times \$80.00 (large) or
\$40.00 (small). \$
*Surcharge fee (\$260.00) (large) or
(\$130.00 (small) for filing
of multiple dependent claim . . . \$ \$130.00

TOTAL OF ABOVE CALCULATIONS \$607.00

TOTAL FEES ENCLOSED \$607.00

- X a. A check in the amount of \$607.00 to cover the above fees is enclosed.
- X b. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1265.

Respectfully submitted,

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11730 U.S. PTO EXPRESS MAIL LABEL EM-418707360-US

03/12/97

PATENT APPLICATION

OUR FILE NO. 960670.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Donald Leonard Nicholas Cardy, et al.

S.N. : 08/737,457

March 12, 1997

Filed : November 13, 1996

International App. PCT/GB95/01107

International Filing Date: 15 May 1995

For : IMPROVEMENTS IN OR RELATING TO PEPTIDE DELIVERY

EXPRESS MAIL CERTIFICATE

Box: Missing Parts

The Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

"Express Mail" Label Number EM-418707360-US

Date of Deposit: March 12, 1997

I hereby certify that the foregoing TRANSMITTAL OF MISSING REQUIREMENTS OF APPLICATION with attached executed Supplemental Declaration, Power of Attorney and Petition, and three executed Verified Statements Claiming Small Entity Status (two by the Independent Inventors and one by the Small Business Concern), a copy of the Notification of Missing Requirements, and a check in the amount of \$65.00 to cover the surcharge for filing the late Declaration, all in response to the Notice of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed February 12, 1997 in connection

with the above-identified patent application, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box: Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

HAUGEN AND NIKOLAI, P.A.

Dianne R. Kammerlander

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Attachments